

COMPLIANCE & GOVERNMENT INVESTIGATIONS SEMINAR

IN-PERSON: SEPTEMBER 14 | VIRTUAL: SEPTEMBER 21-22

BASS
BERRY
SIMS

THURSDAY, SEPTEMBER 14

7:30 – 8:00 a.m.	Registration and Breakfast (In-Person)
8:00 – 8:05 a.m.	Welcome <ul style="list-style-type: none">❖ Lisa Rivera, Member, Bass, Berry & Sims
8:05 – 9:00 a.m.	View from the Top: Perspectives from GCs & Compliance Officers <p>Our group of panelists will feature General Counsel and Compliance Officers from several companies who will provide their perspectives on the most difficult issues they face. Representing a variety of industries, the panelists will discuss how they address the most challenging issues and strategies that have worked – or maybe not worked – to promote compliance in their organizations.</p> <ul style="list-style-type: none">❖ Marti Arvin, Chief Compliance and Privacy Officer, Erlanger Health System❖ Adam Balfour, Vice President and General Counsel for Corporate Compliance and Vice President for Global Risk Management, Bridgestone Americas❖ Noelle Lattimore, Vice President of Legal and Compliance, Boostlingo❖ Matthew Sikes, Vice President and Chief Compliance Officer, Dollar General Corporation❖ Moderator: Thad McBride, Member, Bass, Berry & Sims
9:00 – 9:45 a.m.	Company Crisis Management: Keep Calm, Have a Plan & Carry On <p>Every company will experience a crisis, but not all companies are prepared to handle a crisis. The initial steps can set the tone, while a plan and leadership may effectively guide the organization forward, potentially avoiding employee upheaval and reputational damage. This panel discussion will provide practical takeaways to assist you and your company to prepare for and successfully manage a crisis at your company's door, including:</p> <ul style="list-style-type: none">• Preparing an enterprise-wide team that is ready to engage in a moment's notice.• Considerations and crafting language for specific audiences including board members, leadership, employees, customers, the press, and others potentially impacted by the crisis.• Identifying internal and external best practices and reputation management. <ul style="list-style-type: none">❖ Lisa Rivera, Member, Bass, Berry & Sims❖ To Be Announced
9:45 – 10:15 a.m.	A Plethora of Privacy: Compliance with the Surplus of New Statutes <p>Eleven states have now passed comprehensive privacy legislation, more are on the way, and the federal government is ramping up regulation and enforcement in an effort to protect privacy and grant new rights to individuals. This panel will discuss how companies can find a path through the briar patch of conflicting rules, and what a privacy compliance program looks like—whether in an industry that has been heavily regulated in the past or where privacy concerns are new.</p> <ul style="list-style-type: none">❖ Emily Duke, Senior Corporate Counsel – Privacy, Quest Diagnostics❖ Roy Wyman, Member, Bass, Berry & Sims
10:15 – 10:30 a.m.	Break

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10:30 – 11:15 a.m.	<p>From the Inside Out: Former Government Officials Panel</p> <p>When it comes to understanding the inner workings of the government and how agencies operate to make decisions, you can't beat the knowledge of those who have been there first-hand. This panel of former government officials will share their lessons learned from being inside the agencies, best practices for working effectively with government officials, and how their personal experience informs their current practices.</p> <ul style="list-style-type: none">❖ Bob Cooper, Member, Bass, Berry & Sims; Former Tennessee Attorney General❖ Mike Dashefsky, Member, Bass, Berry & Sims; Former Trial Attorney for the U.S. Department of Justice, Antitrust Division❖ Jennifer Michael, Member, Bass, Berry & Sims; Former Chief of the Industry Guidance Branch for the U.S. Department of Health and Human Services, Office of Counsel to the Inspector General❖ Lisa Rivera, Member, Bass, Berry & Sims; Former Assistant U.S. Attorney for the Middle District of Tennessee and Puerto Rico❖ Moderator: Matt Curley, Member, Bass, Berry & Sims; Former Assistant U.S. Attorney for the Middle District of Tennessee
11:15 – 11:45 a.m.	<p>Latest Developments in Procurement Fraud</p> <p>In recent years, the government has made procurement fraud a priority for enforcement and recoveries. During this session, our panelists will provide an overview of some of the latest (and still popular) procurement fraud trends in federal and state contracting. They will also examine issues faced by small and large businesses as they navigate the increasingly complex federal procurement industry. Our panelists will also include a brief legal update and discussion of best practices for government contractors.</p> <ul style="list-style-type: none">❖ Scott Gallisdorfer, Member, Bass, Berry & Sims❖ Todd Overman, Member, Bass, Berry & Sims
11:45 a.m. – 12:30 p.m.	<p>Networking Lunch</p>
12:30 – 1:30 p.m.	<p>Interview Roleplay: Conducting Effective Internal & Government Investigations</p> <p>Interviews are a critical component of an effective investigation, and conducting good interviews is not as simple as it might seem. Through an interactive roleplay, we will explore not only how to plan for and get the most out of witness interviews, but also how best to address the thorny issues that often arise.</p> <ul style="list-style-type: none">❖ Hannah Choate, Associate, Bass, Berry & Sims❖ Jeff Gibson, Member, Bass, Berry & Sims❖ Justin Page, Chief Legal Officer, Spero Health

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1:30 – 2:00 p.m.	<p>International Regulatory Update</p> <p>The United States continues to liberally interpret and vigorously enforce its international trade laws. Over the past 18 months, this has meant more restrictions and prohibitions on dealing with Russia and Ukraine. In addition, the U.S. government increasingly is pursuing companies because of compliance weaknesses in international supply chains. Our panelists will provide an overview of recent regulatory action and enforcement, and suggestions for compliance best practices.</p> <ul style="list-style-type: none">❖ Faith Dibble, International Trade Attorney, Bass, Berry & Sims❖ Thad McBride, Member, Bass, Berry & Sims
2:00 – 2:45 p.m.	<p>Hypothetical: When the Whistle Blows – Responding to Whistleblower Claims (Ethics Credit)</p> <p>It is almost certain that, at some point, every organization will receive an internal accusation of misconduct which will necessitate a response. It is critical to take each complaint seriously since a seemingly unsupported allegation could actually be the starting point of a larger issue. In this hypothetical session, our panelists will walk through the proper steps in dealing with whistleblower claims, including the ethical obligations of the organization.</p> <ul style="list-style-type: none">❖ Lindsey Fetzer, Member, Bass, Berry & Sims❖ Bob Horton, Member, Bass, Berry & Sims
2:45 – 3:00 p.m.	<p>Break</p>
3:00 – 3:45 p.m.	<p>Privilege Considerations in Investigations & Due Diligence (Ethics Credit)</p> <p>Investigations often create a grey area that is difficult to navigate. This panel will cover designing investigations with privilege protection in mind, key steps to preserving the attorney-client privilege, and waiver considerations. Our panelists will also examine privilege considerations and challenges in due diligence activities involving information sharing amongst parties to a transaction.</p> <ul style="list-style-type: none">❖ Molly Ruberg, Member, Bass, Berry & Sims❖ Julia Tamulis, Member, Bass, Berry & Sims
3:45 – 4:45 p.m.	<p>Healthcare Fraud Enforcement Updates</p> <p>The government continues to focus its enforcement efforts on healthcare fraud as we move into the new normal post-COVID-19. In this session, we will discuss enforcement trends, key settlements, and legal developments over the past year. Our panelists will also address issues to watch in the months ahead.</p> <ul style="list-style-type: none">❖ Brian Irving, Member, Bass, Berry & Sims❖ Brian Roark, Member, Bass, Berry & Sims
4:45 – 5:45 p.m.	<p>Seminar Reception (In-Person)</p>

Note that all times listed are Central Time.